

Instructions to NEW LICENSE Application

P.O. Box 303605 • Montgomery, Alabama 36130-3605 / Overnight Delivery: 445 Herron Street • Montgomery, Alabama 36104 Telephone (334) 242-2230 / Facsimile (334) 263-1397

LICENSE FORMS

Licenses will be issued only to individuals, partnerships, corporations, limited liability companies, and non-profit organizations. The extent to which a residential home builder may engage in the residential home building business depends upon the type of license held.

DESIGNATED QUALIFYING REPRESENTATIVE

- 1. Individuals applying for a license personally must satisfy all of the licensure requirements including experience and ability.
- 2. Partnerships, corporations, limited liability companies, and non-profit organizations must designate a qualifying representative who must satisfy the experience and ability requirements for licensure. The Designated Qualifying Representative (DQR) must be a general partner if the applicant is a partnership, an officer if the applicant is a corporation, a member if the applicant is a membermanaged limited liability company, or a manager if the applicant is a manager-managed limited liability company.

INSTRUCTIONS

1. APPLICATION

All applicants must file an accurate, complete and notarized application for license with the Board. No copies will be accepted.

2. BUSINESS-RELATED FINANCIAL REQUIREMENTS

All applicants must order a business-related credit report, including a public records search, to be submitted directly to the Board by a credit reporting agency. An applicant whose application is pending for more than 90 days may be required to file an updated credit report. An order form is enclosed for your convenience.

3. FEES

The annual license fee is \$250.00. A non-refundable \$100.00 new license application processing fee must also accompany applications for a new license. Please make checks, in the amount of \$350.00 payable to the Home Builders Licensure Board. The Board accepts personal or company checks, cashier's checks, certified checks, or money orders for all new applications. The Board does not accept cash payments. All fees must be paid before the application is processed. Upon written request, the annual license fee will be refunded in the event an application is rejected or denied.

4. WRITTEN EXAMINATION

All applicants will be required to pass a written examination testing experience and ability prior to licensure. Testing information is enclosed. Additional information regarding the written examination is available on the Board's website: www.hblb.alabama.gov. All testing fees will be paid directly to the testing agency.

APPLICATION CHECKLIST

	Application completed, signed and notarized. (Corporation, partnership and limited liability company applications must have signatures notarized in both Sections 6 and 7.)
	Check, cashier's check, certified check, or money order in the amount of \$350.00 made payable to Home Builders Licensure Board enclosed. The Board does not accept cash payments or credit cards.
	Ordered Business-Related Credit Report
	Attach Certificate of Compliance from the Alabama Department of Revenue if a corporation, limited liability company, or limited partnership.
	Attach Certificate of Existence from the Alabama Secretary of State if a foreign (out-of-state) corporation, limited liability company, or limited partnership.
	Attach proof of citizenship.
П	Attach documentation as required in Section 2: Designated Qualifying Representative of a corporate application.

NOTICE

Conducting business through a corporation, partnership, or limited liability company that does not hold an Alabama Home Builders License is a violation of the law.

INDIVIDUAL LICENSEES: You may engage in residential homebuilding only in your individual capacity or through a licensed entity of which you are an employee, partner, officer, or member. If you are conducting business through a corporation, partnership, or limited liability company that does not hold an Alabama Home Builders License, you are in violation of the law.

DESIGNATED QUALIFING REPRESENTATIVE: You may engage in residential home building only through the entity that holds the Alabama Home Builders License. If you wish to engage in residential home building either individually or through another entity that does not hold an Alabama Home Builders License, you must obtain a license either individually or on behalf of the unlicensed entity. If you are conducting business through an unlicensed entity, you are violating the Home Builders Licensure Law.

There are penalties and injunctions applicable to unlicensed builders. Pursuant to *Ala. Code* § 34-.14A-14(2002), any person who undertakes or attempts to undertake the business of residential homebuilding without a valid license, which has neither expired nor been revoked, is guilty of a Class A misdemeanor. The Board may stop the construction work of any person or entity that undertakes or attempts to undertake the business of residential homebuilding without a valid license. The Board may issue a stop work order to the owner of the property or to his or her agent, or to the residential homebuilder, or to the person doing the work, and set the conditions under which work may be resumed. A residential homebuilder who is required to be licensed, but who does not hold a State of Alabama Home Builders License, <u>may not bring or maintain any action to enforce contract for residential construction</u>.

NOTICE FOR CORPORATIONS, LIMITED LIABILITY COMPANIES, OR LIMITED LIABILITY PARTNERSHIPS

If you are a **foreign** (**out of state**) corporation, limited liability company (LLC), or limited liability partnership (LLP) you must provide a **Certificate of Existence** from the Alabama Secretary of State's office. You may order this certificate online at **www.sos.alabama.gov** or you may contact their office at (**334**) **242-5324.**

If you are a corporation, limited liability company (LLC), or limited liability partnership (LLP), you must provide a **Certificate of Compliance** from the Alabama Department of Revenue (ADOR). **Required for in-state and out-of-state companies.**

To request the **Certificate of Compliance**, you must do the following:

- 1. If you have not already done so, if you are a corporation, LLC or LLP, you must register your company with the Alabama Secretary of State's office. You may register online at www.sos.alabama.gov or you may contact their office at (334) 242-5324.
- 2. If you have formed a corporation, LLC, or LLP, you must file the appropriate **Business Privilege Tax form** with ADOR. You may download this form at: www.revenue.alabama.gov (Services Section) or contact the Business Privilege Tax Division at (334)242-9800.
- 3. Order the Certificate of Compliance from ADOR. You may order this certificate online at https://revenue.alabama.gov/certificate-of-compliance/ or you may contact ADOR at (334)353-7944.

 IMPORTANT: Make sure the certificate is ordered in your complete Company name.

NOTICE: In order to request and provide a Certificate of Compliance from the Alabama Department of Revenue (ADOR), you must pay the Alabama Business Privilege Tax within and before the 2 ½ month ADOR requirement expires.



NEW LICENSE APPLICATION (CORPORATIONS, PARTNERSHIPS & LIMITED LIABILITY COMPANIES)

www.hblb.alabama.gov

P.O. Box 303605 • Montgomery, Alabama 36130-3605 / Overnight Delivery: 445 Herron Street • Montgomery, Alabama 36104 Telephone (334) 242-2230 / Facsimile (334) 263-1397

(Date Stamp)	OFFICE USE ONLY	Check No.	
_		Amount Paid	
EVERY SECTION MUST BE ANSWITCHECK, certified check, or money order for	ink all information. Submit the original appliement COMPLETELY. Use additional standards \$350.00 (\$250.00 license fee plus \$100.0 ers Licensure Board. All fees must be paid by	neets if necessary. Mak 00 non-refundable new	te check, cashier's license application
2) A corporation, partnership, or limited liab of the company granted the license.3) Partners, officers, members, or managers of the company granted the license.	NOTICE: ose of obtaining a license is a criminal offense bility company license allows you to engage in desiring a license should apply for an individu. No I have read and understood the above	residential construction	
SECTION 1: APPLICANT INFORM			
Business Name	e □ Corporation □ Partnership □ Lim you plan to use to perform residential constr	<u>-</u>	TC
	State Tax ID N	umber	
Street Address	County	State	7in
Mailing Address			Zip
	County	State	Zip
	Cellular Phone (
	E-mail Address		
If the applicant is a limited partnership or LI	LC, when and where was the agreement filed	?	
Date	County	State	
If the applicant is a corporation, when and w	where was it incorporated?		
	County	State	
Where is the principal office of the business?	?		
Street Address			
	State _		Zip
Revenue?	n, limited partnership or LLC, is it in good sta T the Certificate of Compliance issued by the A		-
	at-of-state) corporation, limited partnership o	-	
	ary of State's office to transact business in Ala		e oci micate 01
· · · · · ·	the Certificate of Authority issued by the Secre		a.

SECTION 2: DESIGNATED QUALIFYING REPRESENTATIVE (DQR)

You must provide the following information for the general partner, officer, member, or manager who will serve as the designated qualifying representative.

Name	Social Security#
	(Disclosure mandatory under the Alabama Child Support Reform Act of 1997, Act 97-447, Ala. Code § 30-3-194 (1975) to be used for the purposes described therein.)
Address	Years with Company
representative of a corporation, partnership	nold an individual home builders license or serve as a designated qualifying o, or limited liability company licensed by this agency? and file number
$\square Yes \ \square No \ 2.$ Does the individual listed above currently l	nold an individual home builders license or serve as a designated qualifying o, or limited liability company licensed by this any other state?
\square Yes \square No 3. Is the applicant a corporation?	
□Yes □No If "YES", is the individual list If "YES", attach a signed nota secretary, etc.)	ed above an officer of the corporation? arized copy of the minutes listing the individual as an officer (president,
Yes □No 4. Is the applicant a partnership/limited parti	nership?
□Yes □No If "YES", is the individual list	
general partner.	arized affidavit from the individual listed above affirming that he or she is a
□Yes □No 5. Is the applicant a limited liability company If "YES", complete the following:	(LLC)!
□Yes □No a) Is the LLC managed solely	by its members (this includes a sole member LLC)? ne individual listed above a member?
	ch a signed notarized copy of the minutes listing the individual as a member.
OR	
	ne individual listed above a manager?
SECTION 3: APPLICANT BACKGROUND A	ch a signed notarized copy of the minutes listing the individual as a manager.
paper.	provide the requested documentation and full explanation on a separate sheet of
☐ Yes ☐ No 1. Within the past 7 years, has any partne	r, officer, member, or manager of this company pled guilty or nolo
contendere, or been convicted of a fel	·
of which this company is a successor, d	· ,
If "YES," indicate in the full explanation Bankruptcy Code.	on whether the bankruptcy was filed under Chapter 7, 11 or 13 of the U.S.
	oter 13, provide a schedule of creditors, a payment plan and a history of under Chapter 11, provide a reorganization plan.
If the bankruptcy was filed under Chap	oter 7, provide a schedule of creditors.
If the bankruptcy has been discharged,	provide a copy of the discharge order.
this company is a successor, have any pr	ny, or any partner, officer, member, or manager or any entity of which rofessional or business license revoked or suspended in Alabama or any ny professional or business license denied in Alabama or any other state?
If "YES," provide a copy of the revocati	ion, suspension, or denial order with your written explanation.
	ignated qualifying representative, either individually or as the designated ntity, have any outstanding disciplinary actions before this Board? eowner involved:
· •	s filed on any of the work performed by this company, or any partner,
	tity of which this company is a successor?
If "YES," provide a copy of the lien filin	ng, and the release of lien if the lien has been released, with your explanation
☐ Yes ☐ No 6. Within the past 7 years, has this compar identified by this Board as an unlicense	ny, or any partner, officer, member, or manager of this company, been ed builder?

SECTION 4: BUSINESS-RELATED FINANCIAL INFORMATION

A credit report on the entity, including a public records search, must be submitted directly to the Board from a credit reporting agency. Any entity whose application is pending for more than 90 days may be required to file an updated credit report.

* Disclosure of Social Security Number for the purpose of obtaining business-related financial and credit information and public records search is voluntary. Disclosure requested under Ala. Code § 34-14A-7(a)(4).

SECTION 5: CITIZENS AND ALIENS LAWFULLY PRESENT

This section to be completed in compliance with *Ala*. *Code* § 34-14A-7 and *Ala*. *Code* § 31-13-7,29, which provides that an alien's lawful presence in the United States may be verified through SAVE. This section must be completed by the designated qualifying representative. This application will not be processed until the requested documentation is received by the Board.

☐ Yes ☐ No 1. Are you a citizen of the United States?

If "YES," please read the declaration below, sign, and provide a legible copy of any one of the documents listed below. If "NO," see question 2, below.

- 1) Driver's License or Nondriver's Identification Card
- 2) Birth Certificate
- 3) Pertinent pages of a valid or expired United States passport (must identify person and show passport number)
- 4) United States naturalization documents or the number of the certificate of naturalization
- 5) Other documents or methods of proof of United States citizenship issued by the federal government pursuant to the Immigration and Nationality Act of 1952, as amended.
- 6) Bureau of Indian Affairs card number, tribal treaty card number, or tribal enrollment number
- 7) Consular report of birth abroad of a citizen of the United States of America
- 8) Certificate of citizenship issued by the United States Citizenship and Immigration Services
- 9) Certification of report of birth issued by the United States Department of State
- 10) American Indian Card with KIC classification, issued by the United States Department of Homeland Security
- 11) Final adoption decree showing the person's name and United States birthplace
- 12) Official United States military record of service showing the applicant's place of birth in the United States
- 13) An extract from a United States hospital record of birth created at the time of the person's birth indicating the place of birth in the United States
- 14) AL-verify
- 15) Valid Uniformed Services Privileges and Identification Card
- 16) Any other form of identification that the Alabama Department of Revenue authorizes . . . to be used to demonstrate of confirm a person's United States citizenship or lawful presence in the United states, provided that the identification requires proof of lawful presence in the United States as a condition of issuance.

I hereby declare that I am a citizen of the United States of America.

I sign this declaration under penalties of perjury; making a false, fictitious, or fraudulent statement or representation in this declaration is perjury in the second degree pursuant to Ala. Code § 13A-10-102.

rinted Name of Applicant	Signature of Applicant
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☐ Yes ☐ No 2. If you are not a citizen of the United States, are you an alien who is lawfully present in the United States?

If "YES," please read the declaration below, sign, and provide a legible copy of any one of the documents listed below.

- 1) Valid, unexpired Alabama driver's license
- 2) Valid, unexpired Alabama nondriver identification card
- 3) Valid tribal enrollment card or other form of tribal identification bearing a photograph or other biometric identifier
- 4) Any valid United States federal or state government issued identification document bearing a photograph or other biometric identifier, including a valid Uniformed Services Privileges and Identification Card if issued by an entity that requires proof of lawful presence in the United States before issuance
- 5) Foreign passport with an unexpired United States Visa and a corresponding stamp or notation by the United States Department of Homeland Security indicating the bearer's admission to the United States
- 6) Foreign passport issued by a visa waiver country with the corresponding entry stamp and unexpired duration of stay annotation or an I-94W form by the United States Department of Homeland Security indicating the bearer's admission to the United States

I	hereb	v dec	lare	that	I am	an d	alien	lawi	็นll\	present	in	the	United	States	of	America

I sign this declaration under penalties of perjury; making a false, fictitious, or fraudulent statement or representation in this declaration is perjury in the second degree pursuant to Ala. Code § 13A-10-102.

3

Printed Name of Applicant		Signature of Applicant	
	_		(Continued on page 4)

NOTICE: BOTH SECTIONS 6 AND 7 MUST BE SIGNED AND NOTARIZED.

SECTION 6: OATH AND RELEASE FOR DESIGNATED QUALIFYING REPRESENTATIVE (DQR)

I solemnly swear or affirm that I am the person referred to in this application; that the information provided and the statements made herein and on the attachments hereto are accurate, complete, and true to the best of my knowledge; and further, that if the Home Builders Licensure Board recognizes me as a qualifying representative, I shall abide by all laws relating to residential home builders and the rules adopted by the Board.

Yes
No I have read and understood the above statement.

I hereby authorize any individual, company, or institution with whom the applicant has been associated to release to the

	oard all information a	and records as a	re necessary to verify	or contradict the information provided	1
in this application.	☐ Yes ☐ No	o I have read	and understood the	above statement.	
Printed Nam	e of Designated Quali	fying Represent	ative [DQR] (Must be	e a General Partner, Officer, Member or	Manager)
Signature of	Designated Qualifying	g Representative	e [DQR] (Must be a C	General Partner, Officer, Member or Ma	nager)
Its:	Position Held				
	Position Held				
State of)			
Signed and sworm	to before me this	day of	(Month)	,(Year)	
Signature – Notary	Public				
My Commission E	xpires:			(Notarial Seal)	
LIABII I solemnly swear or affirm the statements made herein knowledge; and further, tha ensure that the applicant ab I hereby authorize any inc	LITY COMPANI that I am authorized and on the attachment at if the applicant is ground bides by all laws relation lividual, company, or	IES to execute this ents hereto and ranted a license ng to residential institution with	application on behal- that such statements by the Home Builder I home builders and t whom the applicant	f of the applicant; that I have actual kn are accurate, complete, and true to the s Licensure Board, I shall use my best et he rules adopted by the Board. has been associated to release to the Ho information provided in this applicatio	owledge of best of my fforts to ome Builders
Prin	ited Name of Author	ized General Pa	rtner, Officer, Memb	er or Manager	
Sign	nature of Authorized	General Partner	, Officer, Member, o	r Manager	
Its:					
	Position Held				

NOTICE: <u>BOTH</u> SECTIONS <u>6</u> AND <u>7</u> MUST BE SIGNED <u>AND</u> NOTARIZED.

(Month)

(Year)

(Notarial Seal)

Signed and sworn to before me this _____ day of ___

Signature – Notary Public_____

My Commission Expires:_

Year 2019 Credit Report Order Form

Holloway Credit Solutions, LLC

Post Office Box 230609 ◆ Montgomery, AL 36123

Phone (800) 264-2700 ext. 1310 / (334) 396-1310 Fax (800) 489-0067 / (334) 396-1350

For faster processing you may fill out this application online at www.hollowaycredit.com!

Please fax this application with your credit card payment or mail it with your check in the enclosed postage paid envelope.

The credit report fee is \$35.00 for all applicants.

Type of Application:

O Individ	ual	O Corporation or LLC	o Partnersl	nip	
				File Number:	
Applicant Information:				OR ☐ New Applicant	
Name					
(If the license is for a corporation, LLC	or a partnership, use co	mpany name)			
Mailing Address					
City		State	ə <u></u>	Zip	
Business Phone ()		_ Fax ()			
E-mail address					
List any other names you do busines					
If you hold the license as an INDIVIE	OUAL, provide the	following information.			
Name		Socia	l Security Num	ber (optional)	
Address				State	
If you hold the license as a CORPOF	RATION or LLC, pr	ovide the following inform	mation.		
Officer / Member		Title_			
Officer / Member		Title			
O Check here if your partnership is	•		al Consuits Man	Number of partners	
NameAddress					
Name					
Address					
By submitting this form you authorize of Alabama, Home Builders Licensur regarding their credit experience with said reports and their preparation, inches shall use all reasonable efforts this agreement. HCS will provide you nelp you interpret the information on	Holloway Credit See Board. You also you or your compounding but not limit to make sure that any your company a	Solutions, LLC ("HCS") to authorize HCS to conta any. HCS shall not be li- ted to loss of business o all the information within nd/or each partner with a	o obtain credit of ct additional reable to you or r contracts from the reports is a	reports as needed or requiverences to provide us with your company for any loss manifermation contained in accurate in accordance with	ired by the State th information s resulting from the reports.
Signature		Title		Date	
Method of Payment					
Please select the method of payment f paying by credit card, to avoid dupl	you prefer. Paym ication of charge d	nent must be received to lo not mail application af	release your reter faxing.	eport.	
O American Expres	s o VISA	O MasterCard	0 0	Check or Money Order	
Cardholder's Name		Billing Addres	ss		· · · · · · · · · · · · · · · · · · ·
Account Number	***************************************	Card Verifica	tion Number _	Expiration Date	



State of Alabama Home Builders Licensing Board Examinations

Candidate Information Bulletin

FREQUENTLY ASKED QUESTIONS

- How do I register to test? To register and schedule for testing, go to https://www.provexam.com/register. You may also call us at 866-720-7768. Click here for more information about registering.
- WHERE CAN I TEST? You may test at any one of Prov's testing centers. <u>Click here</u> for a current list and directions to each of our Alabama testing centers.
- 3. **How Much Does it cost?** If both exams are taken on the same day the cost is \$130.00. If the exams are taken on separate days each exam cost is \$80.00.
- WHEN DO I GET MY TEST RESULTS? Exam results are available at the testing center once you complete your exam.
- ARE THERE ANY STUDY AIDS? Yes, in our <u>bookstore</u> we sell practice examinations to help you prepare for your test.
- 6. What is on the test? <u>Click here</u> for information about the available examinations.

MORE QUESTIONS

Please read through this bulletin completely for answers to your questions. If you still have unanswered questions, you may contact us at the following:

For Licensing Questions - AHBLB

445 Herron Street Montgomery, AL 36104 Ph: (334) 242-2230 Fax: (334) 263-1397 www.hblb.alabama.gov Office Hrs: 8 a.m. to 5 p.m. For Testing Questions - Prov
5200 NW 43rd Street
Suite 102-167
Gainesville, FL 32606
Phone: (866) 720-7768
Fax: (386) 518-6419
www.provexam.com
Hours: 8 a.m. to 6 p.m.

GENERAL TESTING INFORMATION

The Alabama Home Builders Licensure Board has contracted with Prov, Inc. to develop, and administer their licensing examination program for state licensed home builders. This bulletin has been developed to help explain the rules and processes you will need to undertake to complete the testing requirement for your State of Alabama Home Builders License.

Alabama law requires that each individual who is engaged in residential construction in the State of Alabama to be licensed. To become licensed, a company representative must demonstrate competency by passing the required exams for which licensure is being sought.

Prov is not authorized to make the determination of which examination(s) candidates must take. If a candidate is unsure which exam is needed for the license being sought, resolve this question before scheduling. If candidates have questions regarding which exam(s) to take, they must call the Alabama Home Builders Licensure Board at (334) 242-2230.

EXAMINATION CATEGORIES & COST

Candidates seeking to be licensed as Home Builder's in the State of Alabama must successfully complete two (2) examinations. The first test covers the technical trade elements of home building, whereas the second test covers the business and legal requirements as a licensed home builder. Both exams are open book and are timed. Detailed information about exam content, duration and reference materials can be found in the Exam Descriptions section of this document.

If both exams are taken on the same day the cost is \$130.00. If the exams are taken on separate days each exam cost is \$80.00.

TABLE OF CONTENTS

Frequently asked questions	1
More questions	1
General Testing Information	1
Table of Contents	
Testing with Prov	
Where to take your exam	
How to Schedule Online	
Scheduling by Phone	
Cancel/Rescheduling Policy	
Preparing for Your Exams	
Exam Descriptions	
Purchasing Reference Materials & Study Guides	
Practice Exams Available from Prov	
Test Day Rules and Procedures Check-in Deadline	
Proof of Identity	
Prohibited Items	5
Approved Items	5
Reference Material Rules	
Visitor Policy	6
Unethical Conduct Policy	6
Results Reporting	6
Retesting Policy	6
Reviews	6
Exam Challenge Process	
Hand Score Request	
Prov's Non-Discrimination Statement	
Special Accommodations	
Sample Test Questions	
Answers to Sample Questions	
Testing Site Availability	
Test Site locations	
Test Site Instructions	11

TESTING WITH PROV

Your exam will be administered by computer at one of Prov's testing centers. Prov's testing system is easy to use and requires no specific computer experience to take the test.

The following link will take you to a short video that demonstrates Prov's testing system:

https://youtu.be/h3T9svnIdLY.

Prov has established thirteen (13) testing centers within Alabama where candidates can take their examinations. These testing centers are located in Auburn, Birmingham, Enterprise, Huntsville, Jacksonville, Mobile, Montgomery Sheffield and Tuscaloosa. Site addresses, testing availability, and drive directions are provided at the end of this bulletin.

How to Schedule Online

- To schedule online, navigate to the following URL: https://www.provexam.com/register
- 2. Use the Dropdown menu under "Select Certifying Body", and select:

Alabama Home Builder's Licensure Board

3. Under "Select a License/certificate", choose the license you are seeking:

Home Builder

- 4. Enter the following information into the fields that are provided.
 - Name (First and Last)
 - Social Security number
 - Street address
 - City, State, Postal Code
 - Email Address
 - Telephone Number

Then select the button labeled:

Save Changes

5. Select a Date, Time, Location for your exam by selecting the link labeled:



Find suitable time and venue

- 6. Select the SCHEDULE hyperlink to check the calendar for the testing center.
- 7. Select a test **date** and **time** from the calendar that matches your schedule. Confirm your selected date and time, and select **Add to Cart.**
- 8. Proceed to payment. If you have provided an email address, the invoice for your exam payment will be automatically emailed to you.

If you have any problems with online scheduling, give us a call at (866) 720-7768.

SCHEDULING BY PHONE

To schedule for an examination by phone, candidates should contact Prov toll free at 866-720-7768. Prov scheduling staff is available 8:00 a.m. through 6:00 p.m. ET, Monday through Friday.

Candidates should be prepared to provide the Prov scheduling staff with their name, social security number and their address. Once Prov confirms the candidate's identity, Prov staff will search the database for the next available testing session at the candidate's preferred testing location and will provide candidates with any alternate locations that may be in close proximity to their address. Once candidates are scheduled, Prov staff will email them information regarding their testing schedule.

CANCEL/RESCHEDULING POLICY

If you need to change or cancel their testing appointment, you must contact Prov at least **three (3) business days** prior to your scheduled test date. Rescheduling is free if Prov is notified by the deadline.

If you fail to appear for their test or fail to cancel or reschedule your testing session by the close of business three days prior to their scheduled test date, you will forfeit your exam fees.

PREPARING FOR YOUR EXAMS

The contractor licensing examinations that candidates will take are designed to test what qualified contractors should know as they begin operations in the construction field. The test questions used on the examinations have been prepared by contractors and cover the wide range of topics candidates would normally encounter as a contractor in their particular field. If candidates have worked in construction for some period of time in a variety of different settings, they likely have experienced much of what will be found on their examination. On the other hand, if the candidates' experience is limited (not only in years but in the variety of work performed) then it is likely they may want to spend time increasing their knowledge by studying areas in which they are less familiar. For all candidates, the reality is that people forget those things they don't use, and over time a candidate's knowledge in some areas may have decreased.

Prov recommends that you prepare for your exam by familiarizing yourself with the subject areas listed in

each exam description. Additionally, familiarize yourself with the reference materials that are allowed during the examination. The more time you spend outside of the testing room studying, the less time you will need to spend in the testing room trying to location information. You are encouraged to prepare your test materials by highlighting text or placing permanent tabs on important pages.

It is NOT mandatory that candidates purchase any study materials in preparation for their examinations; however, all examinations permit the use of authorized study materials and candidates may be at a disadvantage if they do not bring those materials with them to their testing session. At a minimum Prov recommends that candidates have their own code books related to their exams which may be useful to candidates after their testing is complete.

EXAM DESCRIPTIONS

Alabama HBLB Business and Law

Number of Questions

Time allowed (hours)	2
Subject Area	# Quest.
Business Organization	2
Contract Management	4
Estimating & Bidding	8
Financial Management	5
Labor Laws	2
Licensing Laws	4
Lien Laws	2
Project Management	5
Risk Management	2
Safety (Reporting)	2
Board Rules	2
Tax Laws	2

References

Alabama -NASCLA Contractors Guide to Business, Law & Project Management, Alabama, Residential Construction, 4th. ISBN: 978-1-948558-20-4 National Association of State Contractors Licensing Agencies, 23309 North 17th Drive, Building 1, Unit 10, Phoenix, AZ 85027. Available at www.NASCLA.org

Alabama HBLB Skill Exam

Number of Questions

80

40

7
Quest.
10
10
7
6
20
7
4
5
6
5

References

Time allowed (hours)

- International Residential Code, 2015. ISBN: Item # 3100S15. International Code Council, 4051 West Flossmoor Road, Country Club Hills, IL 60478. Available @iccsafe.org
- Residential Home Builder Study Guide
 ISBN: 978-1-323-55095-1 Pearson, 501 Boylston Street, Suite 900,
 Boston, MA 02116. Available at www.provbookstore.com

OR

The following books can be used to **substitute** for the Residential Home Builder Study Guide.

- Basic Safety, 2009. ISBN: 978-0-136-09875-1. Prentice Hall, P.O.
 Box 11071, Des Moines, IA 50336. Available at www.provbookstore.com or http://nccer.pearsonconstructionbooks.com.
- Masonry and Sitework for the Residential Contractor, 2nd edition, 2nd. ISBN: 978-1-269-78556-3. Pearson, 501 Boylston Street, Suite 900, Boston, MA 02116. Available at www.provbookstore.com
- Study Guide for Residential Carpentry & Repair, 2nd edition,
 2014. ISBN: 978-1-269-78561-7. Pearson, 501 Boylston Street,
 Suite 900, Boston, MA 02116. Available at www.provbookstore.com

Purchasing Reference Materials & Study Guides

The Home Builder examinations are delivered in an Open Book format, meaning that you are permitted to bring the various approved references into the testing center when you take your examinations. The reference books have been selected by both Prov and the Home Builder Board based on the ability of the publishers to keep costs low. You will notice that there are just a few books on the booklist.

While the cost of the individual books may seem expensive, it is important to understand that these book save hundreds over having to purchase each book separately.

Most reference books can also be purchased by phone or online through the following companies. Shipping charges will apply. Brick and mortar locations are indicated, but candidates should call to verify that orders are ready for pickup.

All of the books for your examination are available from Prov using the following information.

Prov Bookstore

4

10234 South 2460 East Sandy, Utah 84092

https://www.provexam.com/shop/

Toll Free: 866-720-7768

You may also check other vendors that have offered to provide these materials. These vendors are shown below.

@ Home Prep, Inc.

900 East Hill Ave, Suite 380, Knoxville, TN 37915 www.contractor-licensing.com 800-952-0910

AAA Construction School, Inc.

34 Arlington Road South, Jacksonville, FL 32216 www.aaaconstructionschool.com 800-741-7277 or 904-722-9994

American Contractors Exam Services 737 Southern Hwy, Mineral Wells, WV 26150

www.examprep.org 800-992-1910

Builder's Book Depot

1001 East Jefferson Rd, Ste 5, Phoenix, AZ 85034 www.buildersbookdepot.com 800-284-3434 or 602-252-4050

 North American Contractors Association www.infonaca.com
 336-540-0149

If candidates need assistance in ordering any study materials, they may contact Prov by phone at 866-720-7768.

PRACTICE EXAMS AVAILABLE FROM PROV

Prov also offers practice examinations in several exam categories. Practice examinations cost \$25.00 each and may be ordered either by phone or online at www.provbookstore.com. Practice examinations prices do not include tax nor shipping. Candidates must be sure to use the correct shipping option when ordering. Prov provides practice exams for the following tests:

- AL Home Builder Business & Law Practice Exam
- AL Home Builder Skills Practice Exam

TEST DAY RULES AND PROCEDURES

Check-in Deadline

The doors to each testing center will open at least 15 minutes before all scheduled testing appointments in order to check candidates into the testing center. You should plan to arrive early to make sure you are seated by the time the testing begins. If you arrive late, AND the testing session has already begun, YOU WILL BE TURNED AWAY, will forfeit your exam fees, and will be required to reschedule for a future testing date.

Proof of Identity

Upon arriving at the testing center, you will be required to show government-issued, photo-bearing identification. The photo-bearing ID must be current and valid. Forms of valid photo-bearing ID are a driver's license, passport, or military ID. You will also be required to sign a test center log, and will be photographed by the test center staff. If you cannot produce a valid government-issued photo ID, refuse to participate in signing the test center log, or refuse to be photographed, you will be dismissed from the testing center and forfeit all testing fees for that testing session.

If you do not have a photo ID, you may still present a valid State driver's license without a photo, a State ID card without a photo that is issued by the DMV, or a birth certificate.

Prohibited Items

No cameras, recorders, cell phones or other communication devices are allowed in the testing room. Talking and smoking are not allowed in the testing room.

Approved Items

You may bring the following approved items into the testing center:

- Pencils. (Paper/pencil testing only)
- Calculator. Only simple 4-function calculators are permitted. PDAs, tablets, cell phones or other special calculators are NOT permitted.
- Approved References. (See information below).

Reference Material Rules

All examinations are designed to allow you to use **authorized** references while taking their exams. For a list of what reference materials are authorized for the exams, please refer to the exam description for the candidate's exam. All reference materials will be checked by the Prov test administrator prior to the candidates being permitted access to the testing room.

You should prepare your reference materials using ONLY the following methods.

- Highlighting
- Handwritten notes must be written in pen (ink)
- Attaching permanent tabs

Reference materials which do not meet the following guidelines will not be allowed in the testing room. These guidelines are in place to ensure that every candidate is tested fairly and that no candidate has access to unapproved resources.

- Handwritten notes in <u>pencil</u> NOT allowed in any portion of a reference book and will need to be erased prior to using the materials.
- Moveable tabs are NEVER permitted in a reference book.
- A reference book CANNOT contain Post-it notes.
- Photocopied documents CANNOT be added to a reference book.
- Photocopied versions of a reference book are NEVER permitted.
- Test preparation study guides are NOT approved references and are NOT permitted in the testing center; this includes practice examinations.

We ask that you leave all unauthorized materials in your car or vehicle. If you are caught using unauthorized materials during testing, these materials will be confiscated, your testing will be terminated with

fees forfeited, and the Department will be notified of your dismissal from the exam. During the testing process you MAY NOT:

- Share reference materials during testing.
- Highlight, underline, mark or write any kind of information in their reference materials during testing.

Visitor Policy

No one other than the candidate will be allowed in the testing room. Non-testing visitors are NOT permitted to wait in the lobby while candidates test.

Unethical Conduct Policy

Any individual caught giving or receiving assistance during or after the examination, or caught using unauthorized materials during the examination will be reported directly to the Department. Those caught in the act of cheating will be dismissed from the examination and their testing results will be frozen. Furthermore, the candidate will forfeit the examination fees paid. Finally, anyone caught with test questions in their possession, either during or following the examination will be prosecuted by Prov for theft of copyrighted testing materials.

RESULTS REPORTING

Upon completion of an examination, your test will be scored and you will be provided with a score result while at the testing center. There is no charge for the on-site scoring. The State will be notified of your results automatically.

Candidates participating in this testing program can access their scores at any time (24/7) online by going to our website at www.provexam.com, entering their Candidate ID and the password to access their candidate account. Upon reaching the candidate home page inside of Arkiv, candidates can select the MY ACCOUNT Tab and then the EXAM HISTORY button and see the results of all the exams they have taken with Prov.

All exams will be scored and graded against a cut-score of 65% for the Alabama HB Business & Law and 63% for the Alabama Home Builder. Because the examination process is a requirement for licensure with the State of Alabama, candidates automatically consent to permit Prov to share their test results with the State.

RETESTING POLICY

Failed examinations can be retaken as often as is necessary. There is no waiting period and candidates can schedule a new testing session for the first available testing date. Candidates cannot retake an exam once they have passed that exam unless required by the State of Alabama.

REVIEWS

Reviews are intended to help candidates audit their testing results by allowing them to see which questions were missed during testing.

Candidates may request a review of their exam after failing an exam category two (2) times. To be eligible for a review, candidates must score within 10% of the required passing score. The review for all tests is 1-1/2 hours in length. During the review candidates will be provided a printout of the questions they missed and the answers chosen in response to those questions. The review will be conducted under the same testing conditions as the exam using the same test security rules.

The cost of the review is **\$80.00** (includes tax) for each test reviewed. Candidates will request or schedule a review using the same methods described earlier for scheduling.

EXAM CHALLENGE PROCESS

If during an exam or review, candidates encounter a question they think is inaccurate or otherwise incorrect, they are encouraged to submit an Exam Comment form to Prov detailing why they feel the question is in error.

Candidates testing on computer may make their comments in the testing system, while those taking a paper/pencil format exam may submit comments on a comment form that can be obtained from the test proctor at the testing center.

Candidates who are reviewing an exam will automatically be provided with an Exam Comment form.

Prov staff will review each comment within two weeks of receipt by Prov and will make scoring adjustments should any comment result in a change to a test question.

HAND SCORE REQUEST

Prov ensures the accuracy of our exam results. Our computer system, is designed to identify and report any potential error in data collected from an exam session. If you would like to have your score reassessed for accuracy, you can request a Hand Score by calling Prov at 1-866-720-7768 and asking for a Hand Score Request Form.

A Hand Score Request form requires a signature and must be mailed to Prov. Forms must be received by Prov no later than ninety (90) days after your examination administration date and must be accompanied by a copy of your original score letter.

Once a Hand Score is received, Prov Staff will process the request within seven (7) to ten (10) business days. During the Hand Score process a staff member will ensure that your answers were properly recorded and scored by comparing the captured answers to the exam key. Upon completion of the Hand Score we will provide a letter by mail to report the outcome of the reassessment.

The cost of the hand score is \$25.00 (includes tax).

PROV'S NON-DISCRIMINATION STATEMENT

Prov provides equal access to its exams and testing programs for all eligible persons. Although individual jurisdictions that Prov represents are wholly responsible for determining eligibility of candidates, it is the policy of Prov to maintain an environment free of discrimination and to prohibit discrimination and harassment against any person on the basis of race, color, national origin, gender, religion, age, disability, political beliefs, sexual orientation, and veteran, marital or family status.

Harassment of Prov program participants, proctors, staff or candidates will not be tolerated. If candidates wish to express concerns about discrimination, they should contact Prov at 866-720-7768 or write to: Prov, Inc., 5200 NW 43rd St., Suite 102-167, Gainesville, FL 32606.

SPECIAL ACCOMMODATIONS

Prov complies with the Americans with Disabilities Act (ADA) in providing special accommodations for candidates that require additional assistance during the testing process. If candidates feel that they qualify for a special accommodation during testing, they should contact Prov at (866) 720-7768. Prov will require written documentation from a licensed physician or psychologist documenting the disability and further require a request describing the requested remedy. Prov will then work directly with the candidates to make the accommodations they will need in order to complete their examination.

SAMPLE TEST QUESTIONS

The following are sample questions that reflect the format and style of the questions found on exams. They are not intended to reflect the content of the exam you will take.

- Employers are required to notify employees of changes in policies affecting all of the following EXCEPT:
 - a. Pay schedules.
 - b. Vacation allowance.
 - c. Health benefits.
 - d. Promotion opportunities.
- 2. The accounting method that recognizes income and expenses only when money is received or paid is called:
 - a. PCM Method.
 - b. Accrual Method.
 - c. Cash Method.
 - d. Cost-Comparison Method.
- 3. If 15 amp circuits were used, the NEC would require a 2000 square foot house to have a MINIMUM of how many branch circuits?
 - a. 2
 - b. 3
 - c. 4
 - d. 5

- Equipment that is electrically connected to a telecommunications network is required to be listed in accordance with section _____ of the NEC.
 - a. 110.16
 - b. 240.6
 - c. 800.18
 - d. Chapter 9, Table 5(A)
- 5. Duct systems installed in single family dwellings must be sized per
 - a. ACCA Manual D.
 - b. ACCA Manual J.
 - c. SMACNA Manual R.
 - d. SMACNA Manual N.
- 6. What is the recommended MAXIMUM spacing of nails when installing drywall on a ceiling?
 - a. 6" o.c.
 - b. 7" o.c.
 - c. 8" o.c.
 - d. 12" o.c.
- 7. Which of the following types of joint reinforcement is NOT used to tie connecting cavity walls and intersecting walls?
 - a. Z-tie
 - b. Box tie
 - c. Hardware cloth
 - d. Ladder type joint reinforcement
- 8. What is the recommended concrete cover over #6 steel reinforcing in areas exposed to the weather?
 - a. 3"
 - b. 2"
 - c. 1.5"
 - d. 1"

Answers to Sample Questions

- 1. **D** Promotion opportunities.
- 2. **C** Cash Method.
- 3. **C** 4
- 4. **C** 800.18
- 5. **A** ACCA Manual D.
- 6. **B** 7" o.c.
- 7. **D** Ladder type joint reinforcement
- 8. **B** 2"

TESTING SITE AVAILABILITY

The following are the existing testing sites and testing days of the week. Note that sites may change as needed. Please refer to the current online scheduling system to find available testing times for each location.

Location	Monday	Tuesday	Wednesday	Thursday	Friday
Athens-ASUA	*	*	*	*	
Auburn-AU- (By					
Appt)	*	*	*	*	*
Birmingham-JSCCJC					*
Birmingham-JSCCSHC		*		*	
Enterprise-					
ESC(Select)	*	*	*	*	*
Huntsville-CCC	*	*	*	*	
Huntsville-UA	*		*		
Jacksonville-JSC	*	*	*	*	*
Mobile-USA			*		
Montgomery-ASUM		*		*	*
Montgomery-AUM	*	*	*	*	*
Sheffield-NAEJATC				*	
Tuscaloosa-SSCC					*

TEST SITE LOCATIONS

 Athens State University Chasteen Hall
 300 North Beaty Street Athens, AL 35611

Directions: The University Testing Center is on the The University Testing Center is on the corner of the Clinton and Hobbs St. intersection.

2. Auburn University
Biggio Center Testing Services
112 S. College Street
Auburn, AL 36849

Directions: For a campus map please feel free to visit: https://cws.auburn.edu/map.

 Jefferson State Community College – Jefferson Campus Lurleen Wallace Hall - Room 322 2601 Carson Road Birmingham, AL 35215

Directions: For a campus map please feel free to visit: http://www.jeffstateonline.com/locations/jefferson.

 Jefferson State Community College – Shelby-Hoover Campus
 4600 Valleydale Rd
 General Studies Building, Room 110
 Birmingham, AL 35242

Directions: For a campus map please feel free to visit: http://www.jeffstateonline.com/locations/shelby/.

5. Enterprise State Community College 600 Plaza Drive Enterprise, AL 36331

Directions: Turn left when you arrive on campus and then turn right into parking lot. The library is on the right.

Calhoun Community College
 Huntsville Campus Academic Testing Center
 102 B Wynn Drive, Building 1, Room 122
 Huntsville, AL 35805

Directions: For a campus map please feel free to visit: http://www.calhoun.edu/about-calhoun/campus-maps/directions-to-the-huntsville-campus

7. University of Alabama Huntsville Campus 301 Sparkman Drive Wilson Hall, Room 202 Huntsville, AL 35899

Directions: For a campus map please feel free to visit: http://www.uah.edu/map.

Jacksonville State University
 700 Pelham Road North
 434 Trustee Circle
 Jacksonville, AL 36265-1602

Directions: For a campus map please feel free to visit: http://www.jsu.edu/campusmap/jsu_campusmap.pdf

 University of South Alabama 307 University Boulevard N Alpha Hall East - Room 326 Mobile, AL 36688

Directions: For a campus map please feel free to visit: http://www.southalabama.edu/maps/campusmap.p df. Testing center is number 2 on the map.

10. Alabama State University - Testing Center 915 S. Jackson Street Edward Glenn McGeHee Building McGeHee Hall 105 Montgomery, AL 36104

Directions: For a campus map please feel free to visit: http://www.alasu.edu/about-asu/visiting-asu/index.aspx.

11. Auburn University Montgomery Warner Robbins Taylor Center Center for Disability Services 7400 East Drive, Room 147 Montgomery. AL 36117-3596

Directions: For a campus map please feel free to visit:

http://www.aum.edu/docs/default-source/University-RelationsDocs/aum_directions.pdf?sfvrsn=0 or
http://www.aum.edu/sites/default/files/AUM_CAM
PUS_MAP_2017.pdf

12. North Alabama Electrical JATC 1805 E. 17th Street Sheffield, AL 35660

Directions: From I-65 N, Take exit 351 toward Athens, Turn left at AL-2 W/US-72 W/Lee Hwy, Turn right to stay on AL-2 W/US-72 W/Lee Hwy, Continue to follow AL-2 W/US-72 W, Turn left at AL-13 S/AL-2 W/US-43 S/US-72 W/S Court St, Continue to follow AL-13 S/AL-2 W/US-43 S/US-72 W, Turn right at E 17th St.

Shelton State Community College
 Testing and Assessment Center, Room 1826

 9500 Old Greensboro Road, #188
 Tuscaloosa, AL 35405

DIRECTIONS: For a campus map please feel free to visit: www.tour.ua.edu.

TEST SITE INSTRUCTIONS

Prov Candidate Instructions Handout

Please take a moment to read the following information (front and back). If you have any questions then please let your proctor know. Failure to follow these testing rules can have serious consequences.

Be advised, the testing center and testing room may be under video surveillance.

Testing Rules

- Be courteous and do not disturb others.
- After you are seated, follow all the instructions given and verify the information presented to you. This information will include your name, candidate ID, exam name, and occasionally additional testing Materials such as graphics.
- If you leave the testing room during the test, you must notify your proctor and turn in all your testing materials.
- If you take a restroom break the test time will continue to countdown and the lost time cannot be recovered.
- If your computer freezes, shuts down, or will not move to the next question notify your proctor immediately and they will be able to restart your exam without loss of available testing time.
- At the end of your test, remain seated, raise your hand and wait for the proctor to start the check-out process with you. Once all testing materials have been accounted for by your proctor, you may collect your belongings and leave the testing center.
- If permitted by your jurisdiction, you will receive your testing results today; otherwise, you'll be notified of your testing results by either Prov or your jurisdiction.



Reference Rules for Open Book Exams

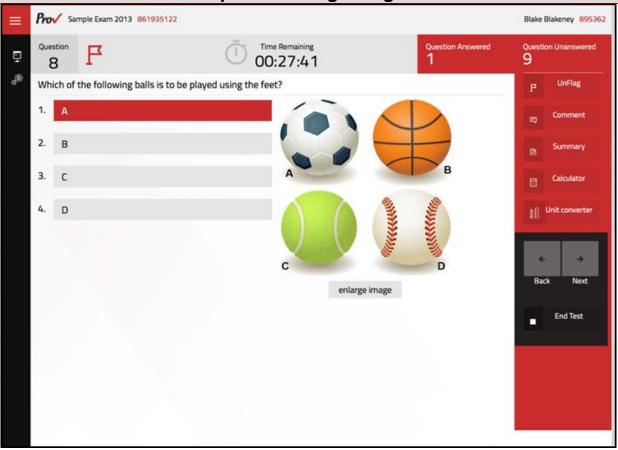
- Book title must be exact. Exceptions: Older/new editions are always permitted. Substitutes are allowed if listed in the Exam Details.
- Photocopied versions of a reference book are ONLY permitted when stated in the Exam Details.
- Permanent tabs such as gummed tabs, self-adhesive tabs with printable inserts, or insertable tab dividers (for three ring binders only) are permitted.
- Moveable items such as repositionable tabs or Postit notes are NOT permitted in a reference book.
- Books can have highlighting and underlining in pen only, however you cannot mark in your books during the test.
- Handwritten notes are NOT allowed in any portion of a reference book unless authorized by your licensing or certifying jurisdiction.
- Other documents (such as formula sheets or the Tom Henry and Kelly Indexes) or stickers (such as Ohm's Law) CANNOT be added, glued or taped to a reference book.

Unethical behavior

Individuals caught giving or receiving assistance during or after the examination, or caught using unauthorized materials during the examination will be reported to the Board. Those caught in the act of cheating will be dismissed from the examination and their testing results will be frozen. Furthermore, the candidate will forfeit the examination fees paid. Finally, anyone caught with test questions in their possession, either during or following the examination will be prosecuted by Prov for theft of copyrighted testing materials.

In the next few days, Prov will email you a link to our candidate experience survey, please let us know how we did!

Computer Testing Navigation



Total number of questions	Indicated in the upper right-hand corner of the screen.
Available time	Posted in hours and minutes. The test will end automatically when Time Remaining reaches 0:00.
Selecting your answer	Use your mouse to select a number (1, 2, 3, or 4). The answer is highlighted (or checked) when selected. You may change your selected answer for any question until the test is over. If you do not know the answer to a question, it is to your advantage to guess, since there is no penalty for a wrong answer.
Tracking difficult questions	Press the Flag Button to help you keep track of questions you wish to return to at a later time. Flagging questions has no impact in scoring. Press the button again to Unflag the question. You may see which questions have been flagged by clicking Summary .
Question comments	During the assessment, you may find that you have a question or concern about a particular test question. The proctor cannot answer questions about test content at any time; however, you can send a comment to Prov's Test Development Department by clicking the Comment button. Please leave a specific description. Staff will process your comments/questions within two weeks. You can refer to your examination handbook for more information on how comments are processed.
Moving to a different question	Use your mouse to select the Next or Back buttons. Press Next to move forward. Press Back to move backward. You may also use your arrow keys (\leftarrow \rightarrow) to move forward and backward through the test.
Reviewing your progress	Press the Summary button to see a table indicating which questions are unanswered or which you have flagged.
Ending the test early	Press End Test button when you are ready to leave the test. It is recommended that you review your exam and verify there are no unanswered questions prior to ending the test.