

LICENSE REQUIREMENTS FOR ROOFERS LICENSE

A residential roofer who contracts directly with a home owner to perform residential roofing, when the total cost of the undertaking exceeds \$2,500, is required to hold a residential roofers license.

ACCORDING TO ALA. CODE § 34-14a-2(12)

"RESIDENTIAL ROOFER. A person that installs products or repairs surfaces on the external upper covering of a residence or structure that seals, waterproofs, or weatherproofs the residence or structure."

LICENSE FORMS

The extent to which a residential home builder may engage in the residential home building business depends upon the form of license held. Licenses will be issued only to individuals, partnerships, corporations, limited liability companies, and non-profit organizations.

DESIGNATED QUALIFYING REPRESENTATIVE

1. **Individuals** applying for a license personally must satisfy all of the licensure requirements including experience and ability.
2. **Partnerships, corporations, limited liability companies, and non-profit organizations** must designate a qualifying representative who must satisfy the experience and ability requirements for licensure. The Designated Qualifying Representative (DQR) must be a general **partner** if the applicant is a partnership, an **officer** if the applicant is a corporation, a **member** if the applicant is a member-managed limited liability company, or a **manager** if the applicant is a manager-managed limited liability company.

INSTRUCTIONS

1. APPLICATION

All applicants must file an accurate, complete and notarized application for license with the Board. **No copies will be accepted.**

2. BUSINESS-RELATED FINANCIAL REQUIREMENTS

A \$10,000 License/Permit Bond must be submitted and must name the Board as the Oblige. In the event that the license application is denied, the Board is not responsible for the cost of the bond. The bond must be submitted with the application. For your convenience, a bond form is available on our website.

3. FEES

The annual license fee is \$150.00. A non-refundable \$100.00 new license application processing fee must also accompany applications for a new limited license. Please make checks in the amount of \$250.00 payable to the Home Builders Licensure Board. The Board accepts personal or company checks, cashier's checks, certified checks, or money orders for all new applications. The Board does not accept cash. **All fees must be paid before the application is processed.** Upon written request, the annual license fee will be refunded in the event an application is rejected or denied.

4. EXPERIENCE AND ABILITY

All applicants will be required to satisfy the experience and ability requirement prior to licensure, as set out in Section 3 on the application for a new limited license for individuals and in Section 4 on the application for a new limited license for corporations. Attach all documentation required on the application.

(Continued on back)

Revised 5/19

APPLICATION CHECKLIST

- Original Application Completed, Signed and Notarized (**Corporation, partnership and limited liability company applications must have signatures notarized in both Sections 7 and 8.**)
- Check, cashier's check, certified check, or money order in the amount of \$250.00 (\$150 license fee plus \$100 application processing fee) made payable to Home Builders Licensure Board enclosed. The Board does not accept cash payments or credit cards.
- License/Permit Bond from applicant's preferred insurance company.
- Attach Certificate of Compliance from the Alabama Department of Revenue if a corporation, limited liability company, or limited partnership.
- Attach Certificate of Existence from the Alabama Secretary of State if a foreign (out-of-state) corporation, limited liability company, or limited partnership.
- Attach proof of citizenship.
- Attach documentation as required in Section 2: Designated Qualifying Representative of a corporate application.
- Attach current or recent business license as a contractor. The license must list the applicant's name or listed trade name.

NOTICE

Conducting business through a corporation, partnership, or limited liability company that does not hold an Alabama Home Builders License is a violation of the law.

INDIVIDUAL LICENSEES: You may engage in residential homebuilding only in your individual capacity or through a licensed entity of which you are an employee, partner, officer, or member. If you are conducting business through a corporation, partnership, or limited liability company that does not hold an Alabama Home Builders License, you are in violation of the law.

DESIGNATED QUALIFYING REPRESENTATIVE: You may engage in residential home building only through the entity that holds the Alabama Home Builders License. If you wish to engage in residential home building either individually or through another entity that does not hold an Alabama Home Builders License, you must obtain a license either individually or on behalf of the unlicensed entity. If you are conducting business through an unlicensed entity, you are violating the Home Builders Licensure Law.

There are penalties and injunctions applicable to unlicensed builders. Pursuant to *Ala. Code* § 34-14A-14(2002), any person who undertakes or attempts to undertake the business of residential homebuilding without a valid license, which has neither expired nor been revoked, is guilty of a Class A misdemeanor. The Board may stop the construction work of any person or entity that undertakes or attempts to undertake the business of residential homebuilding without a valid license. The Board may issue a stop work order to the owner of the property or to his or her agent, or to the residential homebuilder, or to the person doing the work, and set the conditions under which work may be resumed. A residential homebuilder who is required to be licensed, but who does not hold a State of Alabama Home Builders License, may not bring or maintain any action to enforce contract for residential construction.



**NEW ROOFERS LICENSE APPLICATION
(INDIVIDUALS)**

(Date Stamp)	OFFICE USE ONLY	Check No. _____
		Amount Paid _____

INSTRUCTIONS: Please type or print in ink all information. Do not send copies or facsimile transmissions.
ALL QUESTIONS MUST BE ANSWERED COMPLETELY. Use additional sheets if necessary. **Make check, cashier's check, certified check, or money order for \$250.00 (\$150.00 license fee plus \$100.00 non-refundable new license application processing fee) payable to the Home Builders Licensure Board. The Board does not accept cash or payments by credit card. All fees must be paid before the application will be processed.**

NOTICE: 1) Submitting false information for the purpose of obtaining a license is a criminal offense and grounds for license denial. 2) Holding an individual license does not allow you to engage in residential construction through an unlicensed corporation, partnership, or limited liability company.

Yes No **I have read and understood the above statements.**

SECTION 1: APPLICANT INFORMATION

Full Name _____ Social Security Number _____
(Disclosure mandatory under the Alabama Child Support Reform Act of 1997, Act 97-447, Ala. Code § 30-3-194 (1975) to be used for the purposes described therein.)

List any and all business names/trade names you plan to use to perform residential roofing activity:

Street Address _____

City _____ County _____ State ____ Zip _____

Mailing Address _____

City _____ County _____ State ____ Zip _____

Business Phone (_____) _____ Cellular Phone (_____) _____

Fax (_____) _____ E-mail Address _____

SECTION 2: APPLICANT BACKGROUND AND BUSINESS HISTORY

IF YOU ANSWER "YES" TO ANY OF THE FOLLOWING QUESTIONS, YOU MUST PROVIDE THE REQUESTED DOCUMENTATION AND A FULL EXPLANATION ON A SEPARATE SHEET OF PAPER.

- Yes No 1. Within the past 7 years, have you pled guilty or nolo contendere, or been convicted of a felony?
- Yes No 2. Within the past 7 years, did you or any company of which you were a partner, officer, member, or manager have any professional or business license revoked or suspended in Alabama or any other state, or have an application for any professional or business license denied in Alabama or any other state?

If "YES," provide a copy of the revocation, suspension, or denial order with your written explanation.

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SECTION 2: APPLICANT BACKGROUND AND BUSINESS HISTORY (Continued from page 1)

Yes No 3. Do you, or any entity of which you are the Designated Qualifying Representative, have any outstanding disciplinary actions before this Board?

If "YES," provide the name of the homeowner involved: _____

Yes No 4. Are there any liens for labor or materials filed on any of your work or the work of any company of which you are a partner, officer, member or manager?

If "YES," provide a copy of the lien filing, and the release of lien if the lien has been released, with your explanation.

Yes No 5. Within the past 7 years, have you, or any company of which you were either a partner, officer, member, or manager been identified by this Board as an unlicensed builder?

SECTION 3: EXPERIENCE AND ABILITY

You must affirm and provide the following to satisfy the experience and ability requirements for licensure:

I currently hold or held, on or before one (1) year prior to the date this application was submitted, a business or occupational license as a contractor issued by a municipality, township, or county in this state.

Yes No I have read and understood the above statement.

Attach a copy of the business or occupational contractor's license issued to you by a municipality, township, or county in this state.

The business license must list the applicant's name or listed trade name. If you do not hold the required business license, please contact the Licensure Division regarding alternate methods of satisfying the Experience and Ability requirement.

SECTION 4: BUSINESS-RELATED FINANCIAL INFORMATION

A \$10,000 License/Permit Bond must be submitted with this application. The bond must name the Board as the Obligee. In the event that the license application is denied, the Board is not responsible for the cost of the bond. The Principal's name on the bond must match the name listed on this application EXACTLY. If you are operating under a trade name, you must list your trade name on this application and you may submit a bond listing your trade name as follows: "John Smith dba John Smith Roofing." The bond submitted must have the Principal's original signature. The bond expiration date must be December 31st of the current license year and the bond must be renewed annually in addition to the license.

Yes No I have read and understood the above statement.

A blank bond form is attached for your use or available on our website. A comparable form issued by the Surety is acceptable.

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SECTION 5: CITIZENS AND ALIENS LAWFULLY PRESENT

This section to be completed in compliance with *Ala. Code* § 34-14A-7 and *Ala. Code* § 31-13-7,29, which provides that an alien’s lawful presence in the United States may be verified through SAVE.

This section must be completed by the designated qualifying representative.

This application will not be processed until the requested documentation is received by the Board.

Yes No **1. Are you a citizen of the United States?**

If “YES,” please read the declaration below, sign, and provide a legible copy of any one of the documents listed below.

If “NO,” see question 2, below.

- 1) Driver’s License or Nondriver’s Identification Card
- 2) Birth Certificate
- 3) Pertinent pages of a valid or expired United States passport (must identify person and show passport number)
- 4) United States naturalization documents or the number of the certificate of naturalization
- 5) Other documents or methods of proof of United States citizenship issued by the federal government pursuant to the Immigration and Nationality Act of 1952, as amended.
- 6) Bureau of Indian Affairs card number, tribal treaty card number, or tribal enrollment number
- 7) Consular report of birth abroad of a citizen of the United States of America
- 8) Certificate of citizenship issued by the United States Citizenship and Immigration Services
- 9) Certification of report of birth issued by the United States Department of State
- 10) American Indian Card with KIC classification, issued by the United States Department of Homeland Security
- 11) Final adoption decree showing the person’s name and United States birthplace
- 12) Official United States military record of service showing the applicant’s place of birth in the United States
- 13) An extract from a United States hospital record of birth created at the time of the person’s birth indicating the place of birth in the United States
- 14) AL-verify
- 15) Valid Uniformed Services Privileges and Identification Card
- 16) Any other form of identification that the Alabama Department of Revenue authorizes . . . to be used to demonstrate or confirm a person’s United States citizenship or lawful presence in the United states, provided that the identification requires proof of lawful presence in the United States as a condition of issuance.

I hereby declare that I am a citizen of the United States of America.

I sign this declaration under penalties of perjury; making a false, fictitious, or fraudulent statement or representation in this declaration is perjury in the second degree pursuant to *Ala. Code* § 13A-10-102.

Printed Name of Applicant

Signature of Applicant

Yes No **2. If you are not a citizen of the United States, are you an alien who is lawfully present in the United States?**

If “YES,” please read the declaration below, sign, and provide a legible copy of any one of the documents listed below.

- 1) Valid, unexpired Alabama driver’s license
- 2) Valid, unexpired Alabama nondriver identification card
- 3) Valid tribal enrollment card or other form of tribal identification bearing a photograph or other biometric identifier
- 4) Any valid United States federal or state government issued identification document bearing a photograph or other biometric identifier, including a valid Uniformed Services Privileges and Identification Card if issued by an entity that requires proof of lawful presence in the United States before issuance
- 5) Foreign passport with an unexpired United States Visa and a corresponding stamp or notation by the United States Department of Homeland Security indicating the bearer’s admission to the United States
- 6) Foreign passport issued by a visa waiver country with the corresponding entry stamp and unexpired duration of stay annotation or an I-94W form by the United States Department of Homeland Security indicating the bearer’s admission to the United States

I hereby declare that I am an alien lawfully present in the United States of America.

I sign this declaration under penalties of perjury; making a false, fictitious, or fraudulent statement or representation in this declaration is perjury in the second degree pursuant to *Ala. Code* § 13A-10-102.

Printed Name of Applicant

Signature of Applicant

(Continued on page 4)

SECTION 6: OATH AND RELEASE FOR INDIVIDUALS

I solemnly swear or affirm that I am the person referred to in this application; that the information provided and the statements made herein and on the attachments hereto are accurate, complete, and true to the best of my knowledge; and further, that if granted a license by the Home Builders Licensure Board, I shall abide by all laws relating to residential home builders and the rules adopted by the Board.

Yes No **I have read and understood the above statement.**

I hereby authorize any individual, company, or institution with whom I have been associated to release to the Home Builders Licensure Board all information and records as are necessary to verify or contradict the information provided in this application.

Yes No **I have read and understood the above statement.**

Printed Name of Individual Applicant

Signature of Individual Applicant

County of _____)

State of _____)

Signed and sworn to before me this _____ day of _____, _____ .
(Month) (Year)

Signature – Notary Public _____ (Notarial Seal)

My Commission Expires: _____

ALABAMA RESIDENTIAL ROOFER BOND

BOND# _____

KNOW ALL MEN BY THESE PRESENTS, that

Licensee as named on licensure card

of _____
Address

as Principal, and _____, as Surety, are held and firmly bound unto the Alabama Home Builders Licensure Board (hereinafter "Obligee"), in the penal sum of ten thousand dollars (\$10,000.00) for payment well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns jointly and severally, firmly by these presents.

The condition of the foregoing obligation is such, however, that,

If said Principal, while engaged in the business of residential roofing in the State of Alabama, shall faithfully observe all ordinances and laws of the State of Alabama and of any municipal corporation and county of this State, within which the Principal shall engage in any work, pertaining to said business or businesses, whether now or hereafter enacted, together with all rules, regulations and building codes established under the authority of said laws or ordinances; and

Shall perform in a workmanlike manner all work undertaken by said Principal in the performance of said business or businesses; and shall indemnify and hold harmless the aforesaid Obligee from all liability arising from acts done or omitted by said Principal, its servants or agents, in doing said work or from any unfaithful or inadequate work; and

Shall defend all suits brought against the Obligee based, in whole or in part, upon any act of default for which said Principal is responsible, and pay the costs and expenses thereof, and pay such damages as any person, firm or corporation may sustain by reason of violation of said laws, ordinances, rules, regulations or building codes by said Principal, its servants or agents, or by reason of the negligence of said Principal, its servants or agents, in the prosecution of said business or businesses, then this bond shall be null and be void, otherwise to remain in full force and effect.

Liability under this bond shall terminate as of the 31st day of December, _____, as to any acts subsequent thereto, unless said bond is continued in force from year to year by the issuance of a continuation certificate signed by the Surety. Bond shall automatically expire upon termination of license with the Obligee. However, in no event shall the Surety be liable for more than the penal sum of the bond.

Except as to liability accruing prior to the effective date of cancellation, the Surety's liability on this bond shall be terminated fifteen (15) days after receipt by the Executive Director of Alabama Home Builders Licensure Board of written notice of the Surety's intent to cancel.

IN WITNESS WHEREOF, the said Principal and the said Surety have hereunto set their hands and seals at _____

Surety's Address

on this date _____

State

Date

By

Surety's Agent

Principal's Signature

Mailing Address

City

State

Zip

Name of Surety

By

Phone Number

Attorney -In-Fact