



HOME BUILDERS LICENSURE BOARD

www.hblb.alabama.gov

P.O. Box 303605 • Montgomery, Alabama 36130-3605 / Overnight Delivery: 445 Herron Street • Montgomery, Alabama 36104 Telephone (334) 242-2230 / Facsimile (334) 263-1397

NEW ROOFERS LICENSE APPLICATION (CORPORATIONS, PARTNERSHIPS & LIMITED LIABILITY COMPANIES)

OFFICE USE ONLY (Date Stamp) Check No. Amount Paid

INSTRUCTIONS: Please type or print in ink all information. Do not send copies or facsimile transmissions. ALL QUESTIONS IN EVERY SECTION MUST BE ANSWERED COMPLETELY.

NOTICE:

- 1) Submitting false information for the purpose of obtaining a license is a criminal offense... 2) A corporation, partnership, or limited liability company license allows you to engage in residential construction only in the name of the company granted the license. 3) Partners, officers, members, or managers desiring a license should apply for an individual license.

SECTION 1: APPLICANT INFORMATION

Please designate appropriate business form: Corporation Partnership Limited Partnership LLC

Business Name

List any and all business names/trade names you plan to use to perform residential roofing:

Federal Tax Employer ID Number State Tax ID Number

Street Address

City County State Zip

Mailing Address

City County State Zip

Business Phone Cellular Phone

Fax E-mail Address

If the applicant is a limited partnership or LLC, when and where was the agreement filed?

Date County State

If the applicant is a corporation, when and where was it incorporated?

Date County State

Where is the principal office of the business?

Street Address

City State Zip

If the applicant is a corporation, limited partnership or LLC, is it in good standing with the Alabama Department of Revenue?

If "YES," provide a copy of the Certificate of Compliance issued by the Alabama Department of Revenue.

If the applicant is a foreign (out-of-state) corporation, limited partnership or LLC, has it received the Certificate of Authority issued by the Secretary of State's office to transact business in Alabama?

If "YES," provide a copy of the Certificate of Authority issued by the Secretary of State of Alabama.

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SECTION 2: DESIGNATED QUALIFYING REPRESENTATIVE

You must provide the following information for the general partner, officer, member, or manager who will serve as the designated qualifying representative.

Name _____ Social Security# _____
(Disclosure mandatory under the Alabama Child Support Reform Act of 1997, Act 97-447, Ala. Code § 30-3-194 (1975) to be used for the purposes described therein.)
Address _____ Years with Company _____

- Yes No 1. Does the individual listed above currently hold an individual home builders license or serve as a designated qualifying representative of a corporation, partnership, or limited liability company licensed by this agency?
If "YES", list name _____ and file number _____
- Yes No 2. Does the individual listed above currently hold an individual home builders license or serve as a designated qualifying representative of a corporation, partnership, or limited liability company licensed by this any other state?
If "YES", attach a copy of the license.
- Yes No 3. Is the applicant a corporation?
 Yes No If "YES", is the individual listed above an officer of the corporation?
If "YES", attach a signed notarized copy of the minutes listing the individual as an officer (president, secretary, etc.)
- Yes No 4. Is the applicant a partnership/limited partnership?
 Yes No If "YES", is the individual listed above a general partner?
If "YES", attach a signed notarized affidavit from the individual listed above affirming that he or she is a general partner.
- Yes No 5. Is the applicant a limited liability company (LLC)?
If "YES", complete the following:
 Yes No a) Is the LLC managed solely by its members (this includes a sole member LLC)?
 Yes No If "YES", is the individual listed above a member?
If "YES", attach a signed notarized copy of the minutes listing the individual as a member.
- OR**
- Yes No b) Is the LLC managed solely by one or more managers?
 Yes No If "YES", is the individual listed above a manager?
If "YES", attach a signed notarized copy of the minutes listing the individual as a manager.

SECTION 3: APPLICANT BACKGROUND AND BUSINESS HISTORY

IF YOU ANSWER "**YES**" TO ANY OF THE FOLLOWING QUESTIONS, **YOU MUST PROVIDE THE REQUESTED DOCUMENTATION AND A FULL EXPLANATION ON A SEPARATE SHEET OF PAPER.**

- Yes No 1. Within the past 7 years, has any partner, officer, member, or manager of this company pled guilty or nolo contendere or been convicted of a felony?
- Yes No 2. Within the past 7 years, did this company, or any partner, officer, member, or manager or any entity of which this company is a successor, have any professional or business license revoked or suspended in Alabama or any other state, or have an application for any professional or business license denied in Alabama or any other state?
If "YES," provide a copy of the revocation, suspension, or denial order with your written explanation.
- Yes No 3. Does the applicant, or the applicant's designated qualifying representative, either individually or as the designated qualifying representative of any other entity, have any outstanding disciplinary actions before this Board?
If "YES", provide the name of the homeowner involved: _____

SECTION 3: APPLICANT BACKGROUND AND BUSINESS HISTORY *(continued from Page 2)*

Yes No 4. Are there any liens for labor or materials filed on any of the work performed by this company, or any partner, officer, member, or manager or any entity of which this company is a successor?

If "YES," provide a copy of the lien filing, and the release of lien if the lien has been released, with your explanation.

Yes No 5. Within the past 7 years, has this company, or any partner, officer, member, or manager of this company, been identified by the Board as an unlicensed builder?

SECTION 4: EXPERIENCE AND ABILITY

The Designated Qualifying Representative must affirm and provide the following to satisfy the experience and ability requirements for licensure:

I currently hold or held, on or before one (1) year prior to the date this application was submitted, a business or occupational license as a contractor issued by a municipality, township, or county in this state.

Yes No I have read and understood the above statement.

Attach a copy of the business or occupational contractor's license issued to you by a municipality, township, or county in this state.

The business license must list the applicant's name or listed trade name. If you do not hold the required business license, please contact the Licensure Division regarding alternate methods of satisfying the Experience and Ability requirement.

SECTION 5: BUSINESS-RELATED FINANCIAL INFORMATION

A \$10,000 License/Permit Bond must be submitted with this application. The bond must name the Board as the Obligee. In the event that the license application is denied, the Board is not responsible for the cost of the bond. The Principal's name on the bond must match the name listed on this application EXACTLY. If you are operating under a trade name, you must list your trade name on this application and you may submit a bond listing your trade name as follows: "John Smith, LLC dba John Smith Roofing." The bond submitted must have the Principal's original signature. The bond expiration date must be December 31st of the current license year and the bond must be renewed annually in addition to the license.

Yes No I have read and understood the above statement.

A blank bond form is attached for your use or available on our website. A comparable form issued by the Surety is acceptable.

SECTION 6: CITIZENS AND ALIENS LAWFULLY PRESENT

This section to be completed in compliance with *Ala. Code* § 34-14A-7 and *Ala. Code* § 31-13-7,29, which provides that an alien’s lawful presence in the United States may be verified through SAVE. This section must be completed by the designated qualifying representative. **This application will not be processed until the requested documentation is received by the Board.**

Yes No **1. Are you a citizen of the United States?**

If “YES,” please read the declaration below, sign, and provide a legible copy of any one of the documents listed below. If “NO,” see question 2, below.

- 1) Driver’s License or Nondriver’s Identification Card
- 2) Birth Certificate
- 3) Pertinent pages of a valid or expired United States passport (must identify person and show passport number)
- 4) United States naturalization documents or the number of the certificate of naturalization
- 5) Other documents or methods of proof of United States citizenship issued by the federal government pursuant to the Immigration and Nationality Act of 1952, as amended.
- 6) Bureau of Indian Affairs card number, tribal treaty card number, or tribal enrollment number
- 7) Consular report of birth abroad of a citizen of the United States of America
- 8) Certificate of citizenship issued by the United States Citizenship and Immigration Services
- 9) Certification of report of birth issued by the United States Department of State
- 10) American Indian Card with KIC classification, issued by the United States Department of Homeland Security
- 11) Final adoption decree showing the person’s name and United States birthplace
- 12) Official United States military record of service showing the applicant’s place of birth in the United States
- 13) An extract from a United States hospital record of birth created at the time of the person’s birth indicating the place of birth in the United States
- 14) AL-verify
- 15) Valid Uniformed Services Privileges and Identification Card
- 16) Any other form of identification that the Alabama Department of Revenue authorizes . . . to be used to demonstrate or confirm a person’s United States citizenship or lawful presence in the United states, provided that the identification requires proof of lawful presence in the United States as a condition of issuance.

I hereby declare that I am a citizen of the United States of America.

I sign this declaration under penalties of perjury; making a false, fictitious, or fraudulent statement or representation in this declaration is perjury in the second degree pursuant to *Ala. Code* § 13A-10-102.

Printed Name of Applicant

Signature of Applicant

Yes No **2. If you are not a citizen of the United States, are you an alien who is lawfully present in the United States?**

If “YES,” please read the declaration below, sign, and provide a legible copy of any one of the documents listed below.

- 1) Valid, unexpired Alabama driver’s license
- 2) Valid, unexpired Alabama nondriver identification card
- 3) Valid tribal enrollment card or other form of tribal identification bearing a photograph or other biometric identifier
- 4) Any valid United States federal or state government issued identification document bearing a photograph or other biometric identifier, including a valid Uniformed Services Privileges and Identification Card if issued by an entity that requires proof of lawful presence in the United States before issuance
- 5) Foreign passport with an unexpired United States Visa and a corresponding stamp or notation by the United States Department of Homeland Security indicating the bearer’s admission to the United States
- 6) Foreign passport issued by a visa waiver country with the corresponding entry stamp and unexpired duration of stay annotation or an I-94W form by the United States Department of Homeland Security indicating the bearer’s admission to the United States

I hereby declare that I am an alien lawfully present in the United States of America

I sign this declaration under penalties of perjury; making a false, fictitious, or fraudulent statement or representation in this declaration is perjury in the second degree pursuant to *Ala. Code* § 13A-10-102.

Printed Name of Applicant

Signature of Applicant

(Continued on page 5)

NOTICE: BOTH SECTIONS 7 AND 8 MUST BE SIGNED AND NOTARIZED.

SECTION 7: OATH AND RELEASE FOR DESIGNATED QUALIFYING REPRESENTATIVE (DQR)

I solemnly swear or affirm that I am the person referred to in this application; that the information provided and the statements made herein and on the attachments hereto are accurate, complete, and true to the best of my knowledge; and further, that if the Home Builders Licensure Board recognizes me as a qualifying representative, I shall abide by all laws relating to residential home builders and the rules adopted by the Board.

Yes No **I have read and understood the above statement.**

I hereby authorize any individual, company, or institution with whom the applicant has been associated to release to the Home Builders Licensure Board all information and records as are necessary to verify or contradict the information provided in this application.

Yes No **I have read and understood the above statement**

Printed Name of Designated Qualifying Representative [DQR] (Must be a General Partner, Officer, Member, or Manager)

Signature of Designated Qualifying Representative [DQR] (Must be a General Partner, Officer, Member, or Manager)

Its: _____
Position Held

County of _____)

State of _____)

Signed and sworn to before me this ____ day of _____, _____ (Month) (Year) (Notarial Seal)

Signature – Notary Public _____

My Commission Expires: _____

SECTION 8: OATH AND RELEASE FOR CORPORATIONS, PARTNERSHIPS, OR LIMITED LIABILITY COMPANIES

I solemnly swear or affirm that I am authorized to execute this application on behalf of the applicant; that I have actual knowledge of the statements made herein and on the attachments hereto and that such statements are accurate, complete, and true to the best of my knowledge; and further, that if the applicant is granted a license by the Home Builders Licensure Board, I shall use my best efforts to ensure that the applicant abides by all laws relating to residential home builders and the rules adopted by the Board.

I hereby authorize any individual, company, or institution with whom the applicant has been associated to release to the Home Builders Licensure Board all information and records as are necessary to verify or contradict the information provided in this application.

Printed Name of Authorized General Partner, Officer, Member, or Manager

Signature of Authorized General Partner, Officer, Member, or Manager

Its: _____
Position Held

County of _____)

State of _____)

Signed and sworn to before me this ____ day of _____, _____ (Month) (Year) (Notarial Seal)

Signature – Notary Public _____

My Commission Expires: _____

NOTICE: BOTH SECTIONS 7 AND 8 MUST BE SIGNED AND NOTARIZED.